



## Deep Linguistic Analysis, Interfaces and World Knowledge

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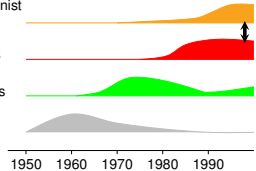
## Different Approaches (Uszkoreit, 2001)

statistic and connectionist  
methods

declarative formalisms

specialized procedures

direct programming



On statistics and deep processing see:

Church, 2011; Kay, 2011; Steedman, 2011, speech: Kaufmann, 2009

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## Constraint-Based Grammar

- Constraint-based grammars were developed as an alternative to generative grammars.
- Frameworks: GPSG, LFG, HPSG, and CxG (with the respective basic assumptions)
- Some of these frameworks started out as generative grammars, but the underlying assumptions changed in the mid of the 90s.
- Example for a constraint on English sentences:

- (1) a. Kim loves Sandy.
- b. \* I loves Sandy.

The subject has to agree with the verb in person and number.

- Constraint-based view: If nothing is said, everything goes.  
See Pullum and Scholz, 2001 for consequences of this view.

## The Framework

- the framework: Head-Driven Phrase Structure Grammar (HPSG) (Pollard and Sag, 1987, 1994; Müller, 2007).
- Since Sag, 1997 this is compatible with basic insights from Construction Grammar (CxG).
- Since it is a constraint-based grammar nowadays, it is not a phrase structure grammar.
- The framework allows for and uses headless constructions (Müller, 1999, Chapter 10).  
Since there are headless constructions, it is not always head-driven.
- Recent development Sign-based CxG (Sag, 2007, 2010).
- Embodied CxG (Bergen and Chang, 2005) can be reformulated as a HPSG (see Müller, 2010a, Chapter 9.6.2).
- Minimalist Grammars correspond to the main schemata in HPSG (Müller, To appear)

## Basic Ingredients: Features and Values

- To describe language we need only three things:

- features
- values
- identities between values

- Values can be simple or complex:

FIRST NAME	max		
SURNAME	meier		
BIRTHDAY	10.10.1985		
FATHER	FIRST NAME	peter	
	SURNAME	meier	
	BIRTHDAY	10.05.1960	
	FATHER	...	
	MOTHER	...	
MOTHER	...		

## Heads and Arguments

- Basic aspects of the sentence structure are determined by the verb.

- (2)
  - a. Peter sleeps.
  - b. Peter likes Maria.
  - c. Peter talks about the financial crises.

- The verb is called head.

The non-verbal elements in (2) are arguments of the verb.

## Types

- In addition we have types:

FIRST NAME	max													
SURNAME	meier													
BIRTHDAY	10.10.1985													
FATHER	<table><tr><td>FIRST NAME</td><td>peter</td></tr><tr><td>SURNAME</td><td>meier</td></tr><tr><td>BIRTHDAY</td><td>10.05.1960</td></tr><tr><td>FATHER</td><td>...</td></tr><tr><td>MOTHER</td><td>...</td></tr><tr><td></td><td>person</td></tr></table>	FIRST NAME	peter	SURNAME	meier	BIRTHDAY	10.05.1960	FATHER	...	MOTHER	...		person	
FIRST NAME	peter													
SURNAME	meier													
BIRTHDAY	10.05.1960													
FATHER	...													
MOTHER	...													
	person													
MOTHER	...													
	person													

- Types define which features belong to a description of certain objects.
- Types are organized in hierarchies → generalizations over all kinds of linguistic objects

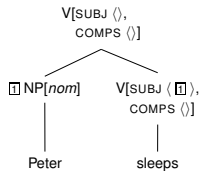
## Valence Representations

- Descriptions of lexemes contain a list with descriptions of the syntactic and semantic properties of their arguments.

(3)	Verb	ARG-ST	SUBJ	COMPS
	<i>sleeps</i>	⟨ NP[ <i>nom</i> ] ⟩	⟨ NP[ <i>nom</i> ] ⟩	⟨ ⟩
	<i>likes</i>	⟨ NP[ <i>nom</i> ], NP[ <i>acc</i> ] ⟩	⟨ NP[ <i>nom</i> ] ⟩	⟨ NP[ <i>acc</i> ] ⟩
	<i>talks</i>	⟨ NP[ <i>nom</i> ], PP[ <i>about</i> ] ⟩	⟨ NP[ <i>nom</i> ] ⟩	⟨ PP[ <i>about</i> ] ⟩

- For English, the ARG-ST list is mapped onto two valence features: SUBJ and COMPS.

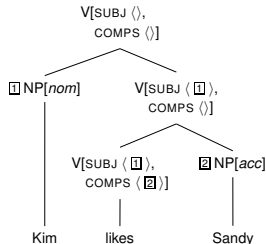
## An Intransitive Sentence



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## A Transitive Sentence



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## Constituent Structure

- Remember that I claimed that we need features and values only?  
So what are these trees?



$$(4) \left[ \begin{array}{l} \text{PHON} \\ \text{HEAD-DTR} \\ \text{NON-HEAD-DTRS} \end{array} \left\langle \begin{array}{l} \langle \textit{the man} \rangle \\ \left[ \text{PHON} \langle \textit{man} \rangle \right] \\ \left\langle \left[ \text{PHON} \langle \textit{the} \rangle \right] \right\rangle \end{array} \right\rangle \right]$$

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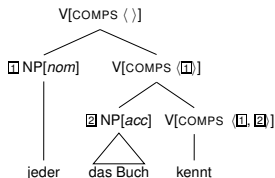
## Languages with free(er) constituent order

- languages like German and Persian:  
all arguments (of finite verbs) represented under COMPS.
- An arbitrary element from the list can be combined with the head.

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- (5) [weil] jeder das Buch kennt (SOV)  
 because everybody.NOM the book.ACC knows  
 'because everybody knows the book'



## Demo: Valence, Head Features, and Order

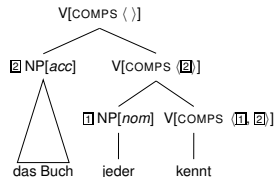
Grammar 4:

- (7) der Mann die Frau kennt  
 the man.NOM the woman.ACC knows

Grammar 9:

- (8) a. der Mann der Frau das Buch gibt  
 the man.NOM the woman.DAT the book.ACC gives  
 b. der Mann das Buch der Frau gibt  
 the man.NOM the book.ACC the woman.DAT gives

- (6) [weil] das Buch jeder kennt (OSV)  
 because the book.ACC everybody.NOM knows



## Semantics: Nominal Objects

- The meaning of *dog* is usually represented as:  
 (9)  $\lambda x \text{ dog}'(x)$
- Features and values (Copestake, Flickinger, Pollard and Sag, 2005):

$$(10) \left[ \begin{array}{c} \text{IND } \boxed{1} \\ \text{RELS } \left\langle \left[ \begin{array}{c} \text{PER } 3 \\ \text{NUM } sg \\ \text{index} \\ \text{INST } \boxed{1} \\ \text{dog} \end{array} \right] \right\rangle \\ mrs \end{array} \right]$$

$\boxed{1}$  is a referential index (person, number, gender) for pronoun binding).  
 RELS is a list of restrictions.

## Semantics: Verbal Objects

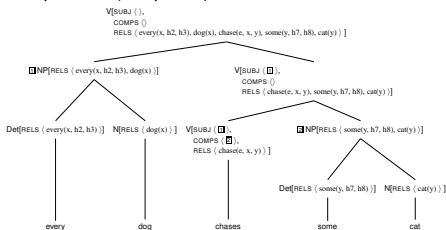
- Meaning of *chase* with an event variable:

$$(11) \lambda y \lambda x \lambda e \text{ chase}'(e, x, y)$$

- Features and values:

$$(12) \left[ \begin{array}{l} \text{IND} \quad \boxed{1} \text{ event} \\ \text{RELS} \left\langle \begin{array}{l} \text{EVENT} \quad \boxed{1} \\ \text{AGENT} \quad \text{index} \\ \text{PATIENT} \quad \text{index} \\ \text{chase} \end{array} \right\rangle \\ \text{mrs} \end{array} \right]$$

## Composition (Simplified)



## Linking

- Linking of valency information and semantic contribution

$$(13) \text{ chase:}$$

$$\left[ \begin{array}{l} \text{CAT} \quad \left[ \begin{array}{l} \text{HEAD} \quad \left[ \begin{array}{l} \text{VFORM} \quad \text{fin} \\ \text{verb} \end{array} \right] \\ \text{ARG-ST} \quad \left\langle \text{NP[nom]} \boxed{1}, \text{NP[acc]} \boxed{2} \right\rangle \end{array} \right] \\ \text{CONT} \quad \left[ \begin{array}{l} \text{IND} \quad \boxed{3} \text{ event} \\ \text{RELS} \left\langle \begin{array}{l} \text{EVENT} \quad \boxed{1} \\ \text{AGENT} \quad \boxed{1} \\ \text{PATIENT} \quad \boxed{2} \\ \text{chase} \end{array} \right\rangle \\ \text{mrs} \end{array} \right] \end{array} \right]$$

- referential indices of NPs are identified with the semantic roles.

## Demo: Scope and Underspecification

Dowty, 1979, Section 5.6 on *again*, Egg (1999) on (14):

- (14) a. dass Max wieder alle Fenster öffnete  
 that Max again all windows opened  
 'that Max opened all windows again'
- b. *again'* ( $\forall(\text{CAUSE}(\text{open}))$ ); repetitiv
- c. *again'* ( $\text{CAUSE}(\forall(\text{open}))$ ); repetitiv
- d.  $\text{CAUSE}(\text{again}'(\forall(\text{open})))$ ; restitutig

## Selectional Restrictions

- Ambiguous or not?  
 (15) Das Buch liest das Kind.  
 the book reads the child
- read* requires an animate (human?) subject.
- Wellformed or not?  
 (16) Peter isst einen Stein.  
 Peter eats a stone
- We can exclude it, but:  
 (17) Peter isst keine Steine, denn Steine kann man nicht essen.
- Ontological constraints may be violated under negation.

## Worldknowledge and Grammar

Event-based frame semantic representation of the prototypical sense of *melt*

GOAL
(Ag)
Pt (p3)

Ag: Entity exerting energy that can lead objects to liquefy  
 Pt: Object that warms up and/or liquefies as the result of energy being applied to it  
 p3: SYN: PP  
 SEM: denoting the end result state (or end location of a path) of a mass that has been liquefied by heat

According to Boas, p3 is provided by world knowledge.

## World Knowledge and Grammar

- Boas (2003) discusses resultative constructions like (18):  
 (18) But most of the dogs were unhappy and would bark themselves hoarse. (BNC)
  - Suggests an analysis in which lexical items contain world knowledge to rule out (19):  
 (19) \* The dog barked the postman hoarse.
  - But what about (20)?  
 (20) # The dog barked and as a result of this the postman became hoarse.
- For (20) we need the full machinery with inferences and so on anyway (Müller, 2005).

## More on World Knowledge

- (21) a. Dann erzählt Juliane Lumumba von den Tonbändern im Archiv, die wegen fehlender Klimaanlage in der tropischen Hitze zu einer schwarzen Masse schmolzen.<sup>1</sup>
- b. Dann ging mal das Schreibpapier aus oder die bestellte Ladung Kerzen war zu Wachs geschmolzen, ehe sie den Hafen erreicht hatte.<sup>2</sup>
- c. Erz wird „direkt“ zu Eisenschwamm reduziert, der dann zu flüssigem Roheisen geschmolzen wird.<sup>3</sup>

<sup>1</sup>Frankfurter Rundschau, 05.08.1997, p. 3.

<sup>2</sup>Frankfurter Rundschau, 028.02.1998, p. 8.

<sup>3</sup>Die Presse, 11.01.1992; Voest will vom Staat acht Milliarden Schilling.

## World Knowledge and Melting Points

Why is a sentence like (22) strange?

- (22) # Dann erzählt Juliane Lumumba von den Stahlträgern im Archiv, die wegen fehlender Klimaanlage in der tropischen Hitze zu einer schwarzen Masse schmolzen.

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## Summary and Outlook

- Sketch of a constraint-based theory
- Syntax and semantics are treated in the same structure and are linked
- syntactic and semantic (and other constraints) are unordered
- World knowledge should be separate but linked. Complex inference mechanisms are needed.
- Processing procedures should be separate from linguistic knowledge.
- Currently in Berlin: German, Persian, Chinese, Maltese, Danish, ...
- Grammars use the same "core" → generalizations over properties that are shared by several languages. (Müller, 2013)
- Approach different from general MGG approach:  
We start with the individual languages and search for generalizations.

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